STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 18-09-12-6(a)

IN THE MATTER OF THE REQUEST OF :

A CASINO KEY EMPLOYEE LICENSEE

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

**ORDER** 

This matter having been submitted to the New Jersey Casino Control

Commission (Commission) by George S. Ciechanowski, a licensed casino key

employee, pursuant to N.J.S.A. 5:12-89, for consideration and approval to be

placed on an inactive list in accordance with the provisions of N.J.A.C.

19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in

N.J.S.A. 5:12-94h; and the Commission having considered this request at its

public meeting of September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that George S.

Ciechanowski, a casino key employee licensee, be placed on the Casino Key

Employee Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon

all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

Jan J. Blomi

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 18-09-12-6(b)

IN THE MATTER OF THE REQUEST OF

A CASINO KEY EMPLOYEE LICENSEE

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

**ORDER** 

This matter having been submitted to the New Jersey Casino Control

Commission (Commission) by Maryann T. Damiano, a licensed casino key

employee, pursuant to N.J.S.A. 5:12-89, for consideration and approval to be

placed on an inactive list in accordance with the provisions of N.J.A.C.

19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in

N.J.S.A. 5:12-94h; and the Commission having considered this request at its

public meeting of September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that Maryann T.

Damiano, a casino key employee licensee, be placed on the Casino Key

Employee Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon

all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

Inne F. Alman

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(c)

IN THE MATTER OF THE REQUEST OF

A CASINO KEY EMPLOYEE LICENSEE

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

ORDER

This matter having been submitted to the New Jersey Casino Control

Commission (Commission) by Joseph A. Domenico, a licensed casino key

employee, pursuant to N.J.S.A. 5:12-89, for consideration and approval to be

placed on an inactive list in accordance with the provisions of N.J.A.C.

19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in

N.J.S.A. 5:12-94h; and the Commission having considered this request at its

public meeting of September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that Joseph A.

Domenico, a casino key employee licensee, be placed on the Casino Key

Employee Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon

all interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(d)

IN THE MATTER OF THE REQUEST OF

A CASINO KEY EMPLOYEE LICENSEE

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

**ORDER** 

This matter having been submitted to the New Jersey Casino Control

Commission (Commission) by Eric P. Kane, a licensed casino key employee,

pursuant to N.J.S.A. 5:12-89, for consideration and approval to be placed on

an inactive list in accordance with the provisions of N.J.A.C. 19:41A-6.1(e)1 in

lieu of complying with the resubmission process set forth in N.J.S.A.

5:12-94h; and the Commission having considered this request at its public

meeting of September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that Eric P.

Kane, a casino key employee licensee, be placed on the Casino Key Employee

Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon

all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(e)

IN THE MATTER OF THE REQUEST OF

A CASINO KEY EMPLOYEE LICENSEE TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

ORDER

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Kathleen M. Kopaz, a licensed casino key employee, pursuant to N.J.S.A. 5:12-89, for consideration and approval to be placed on an inactive list in accordance with the provisions of N.J.A.C. 19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in N.J.S.A. 5:12-94h; and the Commission having considered this request at its public meeting of September 12, 2018;

on this 12th day of September 2018, ORDERED IT IS Kathleen M. Kopaz, a casino key employee licensee, be placed on the Casino Key Employee Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

Jane J. Alamo

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(f)

IN THE MATTER OF THE REQUEST OF

A CASINO KEY EMPLOYEE LICENSEE

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

**ORDER** 

This matter having been submitted to the New Jersey Casino Control

Commission (Commission) by James R. Morris, a licensed casino key

employee, pursuant to N.J.S.A. 5:12-89, for consideration and approval to be

placed on an inactive list in accordance with the provisions of N.J.A.C.

19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in

N.J.S.A. 5:12-94h; and the Commission having considered this request at its

public meeting of September 12, 2018;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that James R.

Morris, a casino key employee licensee, be placed on the Casino Key

Employee Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon

all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(g)

IN THE MATTER OF THE REQUEST OF A CASINO KEY EMPLOYEE LICENSEE

ORDER

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Steven M. Oleary, a licensed casino key employee, pursuant to *N.J.S.A.* 5:12-89, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C.* 19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in *N.J.S.A.* 5:12-94h; and the Commission having considered this request at its public meeting of **September 12, 2018**;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Steven M.

Oleary, a casino key employee licensee, be placed on the Casino Key

Employee Inactive List for a period no to exceed five (5) years; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(h)

IN THE MATTER OF THE REQUEST OF

A CASINO KEY EMPLOYEE LICENSEE

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

ORDER

This matter having been submitted to the New Jersey Casino Control

Commission (Commission) by Henil P. Patel, a licensed casino key employee,

pursuant to N.J.S.A. 5:12-89, for consideration and approval to be placed on

an inactive list in accordance with the provisions of N.J.A.C. 19:41A-6.1(e)1 in

lieu of complying with the resubmission process set forth in N.J.S.A.

5:12-94h; and the Commission having considered this request at its public

meeting of September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that Henil P.

Patel, a casino key employee licensee, be placed on the Casino Key Employee

Inactive List for a period no to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon

all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(i)

IN THE MATTER OF THE REQUEST OF A CASINO KEY EMPLOYEE LICENSEE

ORDER

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by John M. Terlecky, a licensed casino key employee, pursuant to *N.J.S.A.* 5:12-89, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C.* 19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in *N.J.S.A.* 5:12-94h; and the Commission having considered this request at its public meeting of **September 12, 2018**;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that John M.

Terlecky, a casino key employee licensee, be placed on the Casino Key

Employee Inactive List for a period no to exceed five (5) years; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

Jane 1. Plane

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-6(j)

IN THE MATTER OF THE REQUEST OF A CASINO KEY EMPLOYEE LICENSEE

ORDER

TO BE PLACED ON THE CASINO KEY

EMPLOYEE INACTIVE LIST

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Henry J. Votta, a licensed casino key employee, pursuant to *N.J.S.A.* 5:12-89, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C.* 19:41A-6.1(e)1 in lieu of complying with the resubmission process set forth in *N.J.S.A.* 5:12-94h; and the Commission having considered this request at its public meeting of **September 12, 2018**;

IT IS on this 12<sup>th</sup> day of **September 2018, ORDERED** that Henry J. Votta, a casino key employee licensee, be placed on the Casino Key Employee Inactive List for a period no to exceed five (5) years; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(a)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Ronald D. Alcorn for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

**September 12, 2018**;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Ronald D.

Alcorn's casino key employee license, 001623-11, is hereby LAPSED; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(b)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Mark A. Brown for failure to comply with the resubmission process set forth in *N.J.S.A.* 5:12-94h, failure to request inactive status as set forth in N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and the Commission having considered this matter at its public meeting of **September 12, 2018**;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Mark A.

Brown's casino key employee license, 003162-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(c)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Megan L. Burak for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

**September 12, 2018**;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Megan L.

Burak's casino key employee license, 009460-11, is hereby LAPSED; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(d)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Deborah L. Feldman for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that Deborah L.

Feldman's casino key employee license, 007670-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all

interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(e)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Skye B. Gallagher for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

September 12, 2018;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Skye B.

Gallagher's casino key employee license, 009449-11, is hereby LAPSED; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(f)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO REQUEST TO BE PLACED ON THE

INACTIVE LIST

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Carol D. Lodico for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

**September 12, 2018**;

IT IS on this 12th day of September 2018, ORDERED that Carol D.

Lodico's casino key employee license, 007010-11, is hereby LAPSED; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(g)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Michael J. Mascio for failure to comply with the resubmission process set forth in *N.J.S.A.* 5:12-94h, failure to request inactive status as set forth in N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and the Commission having considered this matter at its public meeting of **September 12, 2018**;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Michael J.

Mascio's casino key employee license, 002738-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(h)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

INACTIVE LIST

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Franklin D. Mauger for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

September 12, 2018;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Franklin D.

Mauger's casino key employee license, 009452-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 18-09-12-7(i)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

**INACTIVE LIST** 

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Keith M. Mulrooney for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

**September 12, 2018**;

IT IS on this 12th day of September 2018, ORDERED that Keith M.

Mulrooney's casino key employee license, 009462-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all

interested persons.

NEW JERSEY CASINO CONTROL COMMISSION

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 18-09-12-7(j)

,

IN THE MATTER OF THE LAPSING OF A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

INACTIVE LIST

ORDER

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of August J. Renna for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that August J.

Renna's casino key employee license, 004568-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 18-09-12-7(k)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO

REQUEST TO BE PLACED ON THE

INACTIVE LIST

ORDER

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Robert G. Robinson for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

September 12, 2018;

IT IS on this 12<sup>th</sup> day of September 2018, ORDERED that Robert G.

Robinson's casino key employee license, 003783-11, is hereby LAPSED; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all

interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 18-09-12-7(1)

\_\_\_\_\_

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO REQUEST TO BE PLACED ON THE

INACTIVE LIST

**ORDER** 

AL :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Michael P. Rutz for failure to comply with the resubmission process set forth in *N.J.S.A.* 5:12-94h, failure to request inactive status as set forth in N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and the Commission having considered this matter at its public meeting of **September 12, 2018**;

IT IS on this 12<sup>th</sup> day of **September 2018, ORDERED** that Michael P. Rutz's casino key employee license, 009458-11, is hereby **LAPSED**; and

**IT IS FURTHER ORDERED** that a copy of this Order be served upon all interested persons.

**NEW JERSEY CASINO CONTROL COMMISSION** 

STATE OF NEW JERSEY CASINO CONTROL COMMISSION ORDER NO. 18-09-12-7(m)

IN THE MATTER OF THE LAPSING OF

A CASINO KEY EMPLOYEE LICENSE

FOR FAILURE TO COMPLY WITH THE

RESUBMISSION PROCESS OR TO REQUEST TO BE PLACED ON THE

INACTIVE LIST

**ORDER** 

This matter having been undertaken by the New Jersey Casino Control

Commission (Commission) for the lapsing of the casino key employee license

of Daniel J. Slattery for failure to comply with the resubmission process set

forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in

N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and

the Commission having considered this matter at its public meeting of

**September 12, 2018**;

IT IS on this 12th day of September 2018, ORDERED that Daniel J.

Slattery's casino key employee license, 009104-11, is hereby LAPSED; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all

interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



# New Jersey Casino Control Commission Resolution

**Ro.** 18-09-12-8

RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION CONCERNING THE PETITION OF AC OCEAN WALK, LLC, d/b/a OCEAN RESORT CASINO FOR TEMPORARY CASINO KEY EMPLOYEE LICENSURE OF STACY A. PARKER PURSUANT TO N.J.S.A. 5:12-89(e) AND REQUESTING PERMISSION FOR HER TO ASSUME THE DUTIES AND EXERCISE THE POWERS OF VICE PRESIDENT PLAYER DEVELOPMENT FOR AC OCEAN WALK, LLC PURSUANT TO N.J.A.C. 13:69C-2.6 (PRN 2211803)

**WHEREAS**, casino licensee AC Ocean Walk, LLC, d/b/a Ocean Resort Casino (Petitioner) having filed a petition seeking approval of the New Jersey Casino Control Commission (Commission) for the issuance of a temporary casino key employee license pursuant to N.J.S.A. 5:12-89(e) to Stacy A. Parker pending initial licensure; and

WHEREAS, Petitioner also seeks permission from the Commission for Ms. Parker to assume the duties and exercise the powers of Vice President Player Development for AC Ocean Walk, LLC for a nine-month period pending plenary licensure; and

**WHEREAS**, the Division of Gaming Enforcement (Division) filed an email report dated September 5, 2018, in which it indicated no objection to the issuance of a temporary casino employee license to Ms. Parker; and

**WHEREAS**, the Division interposed no objection to Ms. Parker serving as Vice President Player Development for AC Ocean Walk, LLC pending plenary qualification in its September 5, 2018 correspondence; and

**WHEREAS**, the Commission considered the entire record of the proceedings at its public meeting of September 12, 2018; and



Resolution No. 18-09-12-8 Page 2

**NOW, THEREFORE, BE IT RESOLVED** by the Commission that Stacy A. Parker be issued a temporary casino key employee license pursuant to N.J.S.A. 5:12-89(e) and that she is temporarily qualified and is hereby authorized to assume the duties and exercise the powers of Vice President Player Development for AC Ocean Walk, LLC, subject to the conditions contained in N.J.S.A. 5:12-85.1 and N.J.A.C. 13:69C-2.6.

Submitted by

Teresa M. Pimpinelli, Esq.

Senior Counsel

#### CERTIFICATION

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the

New Jersey Casino Control Commission.

Dianna W. Fauntleroy, Esq.

General Counsel/Executive Secretary

Meeting of September 12, 2018 Vote taken on pages 25 and 26.

# New Jersey Casino Control Commission Resolution



**Nn.** 18-09-12-09

RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION
CONCERNING THE PLENARY QUALIFICATION AND
INITIAL CASINO KEY EMPLOYEE LICENSURE OF
CHRISTOPHER D. RIPPY TO SERVE AS
VICE PRESIDENT FOOD AND BEVERAGE FOR
TROPICANA ATLANTIC CITY CORP.

WHEREAS, on December 19, 2017, the New Jersey Casino Control Commission (Commission), with the recommendation of the Division of Gaming Enforcement (Division), granted Christopher Darren Rippy temporary casino key employee license #9822-11 pursuant to N.J.S.A. 5:12-89(e) and found Mr. Rippy temporarily qualified to serve as Vice President Food and Beverage for Tropicana Atlantic City Corp. d/b/a Tropicana Casino and Resort (Trop AC) pursuant to N.J.A.C. 13:69C-2.6 (Resolution No. 17-12-19-10); and

**WHEREAS**, the Division filed a letter report dated August 6, 2018, recommending that the Commission remand the matter to its contested case hearing process, which the Commission did at its August 8, 2018 public meeting; and

WHEREAS, by stipulation of settlement executed August 23, 2018, the Division recommended that the Commission find Mr. Rippy plenarily qualified to serve as the Vice President Food and Beverage for Trop AC and grant his initial casino key employee license #9822-11, subject to his compliance with the terms and conditions set forth in the aforementioned stipulation; and



Resolution No. 18-09-12-09 Page 2

**WHEREAS**, the Commission considered the entire matter at its public meeting on September 12, 2018;

NOW, THEREFORE, BE IT RESOLVED by the Commission that the stipulation of settlement, which is incorporated herein by reference, is approved and Christopher Darren Rippy is found to have demonstrated his qualifications for casino key employee licensure as required by N.J.S.A. 5:12-89b based on the credible evidence submitted including his voluntary agreement to 1) remain compliant with the terms of his payment plan with Texas Higher Education Coordinating Board ("THECB") until his outstanding THECB loan is paid in full or otherwise satisfied and 2) provide the Division with proof of such compliance on an annual basis beginning July 31, 2019; and

IT IS FURTHER RESOLVED that the approval of Christopher D. Rippy's casino key employee license #9822-11 is specifically conditioned upon him providing the Division with annual reports beginning July 31, 2019, evidencing his compliance with his THECB payment plan until said debt is paid in full or otherwise satisfied, by sending a copy of all relevant documentation, including proof of payment, to:

Division of Gaming Enforcement **ATTN: Sara Ben-David, DAG**140 East Front Street
P.O. Box 047
Trenton, NJ 08625



Resolution No. 18-09-12-09 Page 3

Failure of Christopher D. Rippy to abide by any of the conditions set forth herein shall constitute grounds to re-open the matter; and

IT IS FURTHER RESOLVED that Christopher Darren Rippy is hereby found plenarily qualified to serve as Vice President Food and Beverage for Trop AC, and his initial casino key employee license #9822-11 is hereby granted, in accordance with and subject to the conditions contained in N.J.S.A. 5:12-85.1b, -89a and -b, N.J.A.C. 13:69C-2.6 and the aforementioned stipulation.

Submitted by:

Teresa M. Pimpinelli

Senior Counsel

#### **CERTIFICATION**

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Dianna W. Fauntleroy, Esq.

Executive Secretary

Meeting of September 12, 2018 Vote taken on pages 28-29

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
DOCKET NO. 18-0011-CK
CREDENTIAL NO. 009829-11
ORDER NO. 18-09-12-10 (TMP)

I/M/O THE INITIAL APPLICATION OF

EXCELMAN A. FRANCIS:

FOR A CASINO KEY EMPLOYEE LICENSE

**ORDER** 

The Division of Gaming Enforcement ("Division"), having initially objected to the initial application of Excelman A. Francis ("Applicant") for casino key employee license #009829-11; and the Division having issued a supplemental letter-report withdrawing its objection; and Applicant having been involved in no other matters inconsistent with licensure; and the New Jersey Casino Control Commission having considered this matter in its entirety at its public meeting on September 12, 2018;

IT IS on this 12th day of September 2018, ORDERED that the initial application for casino key employee license #009829-11 is GRANTED based on the credible evidence submitted demonstrating Applicant's qualifications for licensure as required by N.J.S.A. 5:12-89(b)(1) and -89(b)(2) of the Casino Control Act.

NEW JERSEY CASINO CONTROL COMMISSION

### Rew Jersey Casino Control Commission Resolution Ro. 18-09-12-11



RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION CONCERNING THE PLENARY QUALIFICATION AND CASINO KEY EMPLOYEE LICENSURE OF CHRISTOPHER J. CAPRA TO SERVE AS VICE PRESIDENT ONLINE AND INTERNET MARKETING FOR TROPICANA ATLANTIC CITY CORP. d/b/a TROPICANA CASINO AND RESORT AND TROPICANA ENTERTAINMENT, INC.

WHEREAS, on January 10, 2018, the New Jersey Casino Control Commission (Commission) granted a temporary casino key license pursuant to N.J.S.A. 5:12-89e to Christopher J. Capra, and granted him permission to perform the duties and exercise the powers of Vice President Online and Internet Marketing for casino licensee Tropicana Atlantic City Corp. (TropAC), d/b/a Tropicana Casino and Resort and its holding company, Tropicana Entertainment, Inc. (TEI) pending his plenary licensure and qualification (Commission Resolution No. 18-01-10-8); and

WHEREAS, by letter report dated August 23, 2018, the Division of Gaming Enforcement (Division) recommended that the Commission find Mr. Capra plenarily qualified and issue an initial casino key employee license to him; and

**WHEREAS**, the Commission considered the entire record of this matter at its public meeting on September 12, 2018.

**NOW, THEREFORE, BE IT RESOLVED** by the Commission that Christopher J. Capra is hereby found qualified to serve as Vice President Online and Internet Marketing for TropAC and TEI in accordance with *N.J.S.A.* 5:12-85.1b and -c, *N.J.S.A.* 5:12-89b, and *N.J.A.C.* 13:69C-2.6 and -2.7; and

### Resolution No. 18-09-12-11 Page 2



he is hereby granted and initial casino key employee pursuant to N.J.S.A. 5:12-

89a and -b.

Submitted by:

Dianna W. Fauntleroy

General Counsel

#### **CERTIFICATION**

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Teresa Pimpinelli, Senior Counsel for the Executive Secretary

Meeting of September 12, 2018 Vote taken on pages 35-36

### New Jersey Casino Control Commission Resolution No. 18-09-12-12



RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION CONCERNING THE PLENARY QUALIFICATION AND CASINO KEY EMPLOYEE LICENSURE WITH MULTI-CASINO ENDORSEMENT OF JOSEPH T. CAVILLA TO SERVE AS VICE PRESIDENT OF CASINO OPERATIONS FOR DGMB CASINO, LLC AND RESORTS DIGITAL GAMING, LLC

WHEREAS, on December 19, 2017, the New Jersey Casino Control Commission (Commission) granted a temporary casino key employee license with multi-casino endorsement to Joseph T. Cavilla, pursuant to N.J.S.A. 5:12-89a and -b and N.J.S.A. 5:12-91.1, and granted permission to Mr. Cavilla to perform the duties and exercise the powers of Vice President of Casino Operations for casino licensees DGMB Casino, LLC (DGMB) d/b/a Resorts Casino Hotel and Resorts Digital Gaming, LLC (Resorts Digital) pursuant to N.J.S.A. 5:12-85.1c and -d and N.J.A.C. 13:69C-2.6, pending his plenary licensure and qualification (Commission Resolution No. 17-12-19-17; and

WHEREAS, the Division of Gaming Enforcement (Division) filed a letter report dated September 4, 2018 recommending that the Commission find Mr. Cavilla plenarily qualified and grant him a casino key employee license with multi-casino endorsement; and

**WHEREAS**, the Commission considered the entire record of this matter at its public meeting of September 12, 2018.

**NOW, THEREFORE, BE IT RESOLVED** by the Commission that Joseph T. Cavilla is hereby found qualified to serve as Vice President of Casino Operations for DGMB and Resorts Digital in accordance with *N.J.S.A.* 5:12-85.1b, *N.J.S.A.* 5:12-89b, and *N.J.A.C.* 13:69C-2.6; and is hereby granted an

### Resolution No. 18-09-12-12 Page 2



initial casino key employee license pursuant to *N.J.S.A.* 5:12-89a and -b with a multi-casino endorsement pursuant to *N.J.S.A.* 5:12-91.1.

Submitted by

Dianna W. Fauntleroy General Counsel

CERTIFICATION

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Teresa Pimpinelli, Senior Counsel for the Executive Secretary

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Meeting of September 12, 2018 Vote taken on pages 37-38

## New Jersey Casino Control Commission Resolution



**Nn.** 18-09-12-13

RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION
CONCERNING THE JOINT PETITION OF
MARINA DISTRICT DEVELOPMENT COMPANY, LLC AND
MGM RESORTS INTERNATIONAL
REQUESTING PERMISSION FOR ANTON D. NIKODEMUS TO
PERFORM THE DUTIES AND EXERCISE THE POWERS OF
PRESIDENT AND CHIEF OPERATING OFFICER OF
MGM RESORTS REGIONAL OPERATIONS, LLC
PENDING PLENARY QUALIFICATION
(PRN 2351802)

WHEREAS, MGM Resorts International (MGM), a qualified holding company of casino licensee Marina District Development Company, LLC d/b/a the Borgata Hotel Casino & Spa (Borgata) and Borgata (collectively Petitioners) filed a petition seeking approval of the New Jersey Casino Control Commission (Commission) to have Anton D. Nikodemus, prior to his plenary qualification, assume the duties and exercise the powers of President and Chief Operating Officer of MGM Resorts Regional Operations, LLC, in accordance with the conditions contained in N.J.S.A. 5:12-85.1c and -d and N.J.A.C. 13:69C-2.7(c) and (d); and

WHEREAS, the Division of Gaming Enforcement (Division) filed a response dated September 5, 2018, in which it recommended that the Commission permit Mr. Nikodemus to assume the duties and exercise the powers of those positions before being found plenarily qualified; and

Resolution No.  $_{18-09-12-13}$ 

**WHEREAS**, the Commission considered the entire record of the proceedings at its public meeting of September 12, 2018;

**NOW, THEREFORE, BE IT RESOLVED** by the Commission that Anton D. Nikodemus is temporarily qualified and is hereby authorized to assume the duties and exercise the powers of President and Chief Operating Officer for MGM Resorts Regional Operations, LLC, subject to the conditions contained in *N.J.S.A.* 5:12-85.1c and -d and *N.J.A.C.* 13:69C-2.7.

Submitted by:

Teresa M. Pimpinelli

Senior Counsel

#### **CERTIFICATION**

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Dianna W. Fauntleroy, Esq.

Executive Secretary

Meeting of September 12, 2018 Vote taken on pages 33-34

### Rew Jersey Casino Control Commission Resolution Ro. 18-09-12-14



RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION CONCERNING THE AMENDED AND RESTATED PETITION OF ELDORADO RESORTS, INC. AND DELTA MERGER SUB, INC. FOR INTERIM CASINO AUTHORIZATION PURSUANT TO *N.J.S.A.* 5:12-95.12 *ET. SEQ.* AND OTHER RELATED RELIEF (PRN 1351803)

WHEREAS, Eldorado Resorts, Inc. ("ERI"), Delta Merger Sub, Inc. ("Delta Sub") and GLP Capital, L.P. ("GLP Capital") entered into an Agreement and Plan of Merger ("Merger Agreement") with Tropicana Entertainment, Inc. ("TEI") on April 15, 2018, whereby, upon consummation of the transactions contemplated by the Merger Agreement ("TEI Acquisition"), TEI would become an intermediary holding company of casino licensee Tropicana Atlantic City Corp. d/b/a Tropicana Casino and Resort ("Trop AC") and a wholly-owned direct subsidiary of ERI, and Trop AC would become a wholly-owned indirect subsidiary of ERI; and

WHEREAS, TEI and GLP Capital entered into a Purchase and Sale Agreement ("Real Estate Purchase Agreement") on April 15, 2018, whereby upon consummation of the transaction contemplated by the Real Estate Purchase Agreement, GLP Capital will acquire certain real estate assets owned directly or indirectly by TEI, including all of the Trop AC real estate assets, as well as the equity interests of Tropicana AC Sub Corp. ("Trop Sub"), an entity qualifier of Trop AC which currently owns some of the casino hotel parcels underlying Trop AC, and SE Inlet Properties LLC, a non-gaming subsidiary of TEI which owns non-casino related property parcels in Atlantic City; and



WHEREAS, by PRN 1711801 filed June 20, 2018, ERI, Delta Sub and GLP Capital sought Casino Control Commission ("Commission") approval of a Master Lease between GLP Capital and Trop Sub, as landlords, and TEI and Trop AC, as tenants, and a declaratory ruling from the Commission that Trop Sub, as landlord for the Trop AC casino hotel, is required to obtain a casino service industry enterprise ("csie") license rather than a casino license under N.J.S.A. 5:12-82c(1).

**WHEREAS**, on August 8, 2018 the Commission granted the relief requested in PRN 1711801 and approved the Master Lease as compliant with the statutory requirements of *N.J.S.A.* 5:12-82c and determined, with the concurrence of the Division of Gaming Enforcement ("Division"), that Trop Sub is required to hold a csie license, not a casino license (Resolution No. 18-08-08-13); and

WHEREAS, ERI and Delta Sub filed PRN 1351803 on May 15, 2018, as amended and restated on August 27, 2018, seeking, among other things, interim casino authorization ("ICA") pursuant to N.J.S.A. 5:12-95.12 et seq., and ultimately plenary qualification in connection with the consummation of the Merger Agreement, and seeking other related rulings; and

WHEREAS, the Director of the Division by Order 1777 dated August 21, 2018 (Exhibit D-1, in evidence at Exhibit B) determined that the following business entities are required to qualify in connection with the ICA application of ERI and Delta Sub: (a) ERI, ICA applicant, as a holding company of casino



licensee Trop AC pursuant to *N.J.S.A.* 5:12-85.1b(5); (b) TEI will remain designated as a holding company, and will become an intermediary company, of Trop AC pursuant to *N.J.S.A.* 5:12-85.1b(5); (c) Delta Sub, as an entity qualifier for the limited purposes of accomplishing the merger transactions; and (d) Recreational Enterprises, Inc. ("REI"), as a security holder of ICA applicant ERI pursuant to *N.J.S.A.* 5:12-85.1c(3); and

WHEREAS, the Director of the Division by Order 1777 dated August 21, 2018 (Exhibit D-1, in evidence at Exhibit B) determined that various trusts established on behalf of Donald L. Carano (deceased), Cindy L. Carano, Gary L. Carano, Gene R. Carano, Glenn T. Carano and Gregg R. Carano (collectively, "Carano Family Trusts"), which hold the ownership interests of REI are not required to qualify in connection with the request of ERI and Delta Sub for ICA; and

WHEREAS, the Director of the Division by Order PRN 1521801 dated August 21, 2018 (Exhibit D-1, in evidence at Exhibit D) determined that FMR LLC and FIL Limited, as well as their respective subsidiaries, (collectively, "Fidelity"), holder of 13.4899% of the issued and outstanding common stock of ERI as of May 2018, meet the definition of an institutional investor pursuant to N.J.S.A. 5:12-85.1g and has complied with the requirements of N.J.A.C. 13:69C-5A.1 and is therefore waived from qualification with regard to its beneficial interests in the common stock of ERI subject to the conditions set forth in Order PRN 1521801; and



WHEREAS, the Director of the Division by Order PRN 1511802 dated August 21, 2018 (Exhibit D-1, in evidence at Exhibit E) determined that PAR Capital Management, Inc. ("PAR"), holder of 9.6% of the issued and outstanding common stock of ERI as of May 2018, meets the definition of an institutional investor pursuant to N.J.S.A. 5:12-85.1g and has complied with the requirements of N.J.A.C. 13:69C-5A.1 and is therefore waived from qualification with regard to its beneficial interests in the common stock of ERI subject to the conditions set forth in Order PRN 1511802; and

WHEREAS, the Deputy Director of the Division by Order PRN 1631802 dated August 22, 2018 (Exhibit D-1, in evidence at Exhibit F) determined that the Vanguard Group, Inc. ("Vanguard"), holder of 5.72% of the issued and outstanding common stock of ERI as of June 2018, meets the definition of an institutional investor pursuant to N.J.S.A. 5:12-85.1g and has complied with the requirements of N.J.A.C. 13:69C-5A.1 and is therefore waived from qualification with regard to its beneficial interests in the common stock of ERI subject to the conditions set forth in Order PRN 1631802; and

WHEREAS, the Director of the Division by Order 1777 dated August 21, 2018 (Exhibit D-1, in evidence, at Exhibit B) designated the following individuals as natural person qualifiers of ERI, Delta Sub and REI in connection with the pending petition of ERI and Delta Sub for ICA: (1) Bonnie Biumi as Director and Audit Committee Member of ERI; (2) Peter Broughton as Senior Vice President Information Technology of ERI; (3) Anthony L. Carano as



Executive Vice President, Chief Operating Officer and Compliance Committee Member of ERI; (4) Gary L. Carano as Chairman of the Board and Chief Executive Officer of ERI and Delta Sub and Chairman of the Board and President of REI; (5) Frank J. Fahrenkopf, Jr. as Director and Compliance Committee Member of ERI; (6) John Frankovich as independent trustee of the Carano Family Trusts; (7) James B. Hawkins as Director and Audit Committee Member of ERI; (8) Jeffrey P. Hendricks as Vice President Compliance and Compliance Officer of ERI; (9) Alvin "Bud" Hicks as Chair of the Compliance Committee of ERI; (10) Gregory J. Kozicz as Director of ERI; (11) Stephanie Lepori as Chief Accounting Officer and Compliance Committee Member of ERI; (12) Michael E. Pegram as Director and Audit and Compliance Committee Member of ERI; (13) Edmund L. Quatmann, Jr. as Executive Vice President and Chief Legal Officer of ERI and Chief Legal Officer and Secretary of Delta Sub: (14) Thomas R. Reeg as Director, President and Chief Financial Officer of ERI and Delta Sub and as Director, Vice President, Secretary and Treasurer of REI; (15) David P. Tomick as Director of ERI; (16) Lee D. Vartan, Esq. as ICA Trustee; and (17) Roger P. Wagner as Director of ERI; and

WHEREAS, by Order PRN 2321801(a) dated August 23, 2018 (D-1, in evidence, at Exhibit H), the Director approved ERI's Audit Committee Charter, as supplemented on August 15 and 21, 2018; and

WHEREAS, by Order PRN 2321801(b) dated August 24, 2018 (D-1, in evidence, at Exhibit I), the Director approved ERI's Amended and Restated



Gaming Compliance Plan as compliant with *N.J.A.C.* 13:69C-8.8 on an interim basis subject to the conditions contained in Order PRN 2321801(b); and

WHEREAS, by Order 1778 dated August 21, 2018 (D-1, in evidence at Exhibit G), the Director determined that the approved Equal Employment and Business Opportunity Plan (EEBOP) of Trop AC shall remain in effect and may be utilized following the grant of ICA to ERI and Delta Sub and consummation of the Merger Agreement subject to the conditions contained in Order 1778; and

WHEREAS, the Division filed a report dated August 29, 2018, along with a cover letter including updated information regarding the TEI Acquisition, also dated August 29, 2018 (collectively, D-1 in evidence) and a supplemental letter report dated September 7, 2018 (D-2 in evidence) responding to the amended and restated ICA petition, and interposing no objection to the granting of ICA to ERI and Delta Sub subject to the imposition of certain specified conditions; and

**WHEREAS**, the Commission considered the matter at its public meeting on September 12, 2018;

**NOW, THEREFORE, BE IT RESOLVED**, by the Commission, based on the entire record of these proceedings and strictly to the extent set forth in the findings and rulings, and subject to conditions contained herein, that Petitioners have established by clear and convincing evidence that issuance of ICA to them will best serve the interest of the public as expressed in *N.J.S.A.* 5:12-1b and as required by *N.J.S.A.* 5:12-95.13a(4);



#### FINDINGS AND RULINGS

Based upon consideration of the entire record of these proceedings, and subject to the conditions herein, the Commission issues the following declaratory rulings and makes the following findings:

- 1. The entities required to qualify for purposes of the ICA application are as follows: **ERI**, holding company of casino licensee Trop AC; **Delta Sub**, entity qualifier for the limited purpose of accomplishing the merger transactions; **REI**, security holder of holding company ERI; and **TEI**, which remains designated as a holding company as a result of the TEI Acquisition and will also become an intermediary company of casino licensee Trop AC;
- 2. Each of the entities listed in Finding No. 1 has provided all information required, including the filing where necessary of a business entity disclosure (BED) form, for purposes of ERI and Delta Sub completing their applications for ICA;
- 3. The individuals required to file for qualification in connection with completing the ICA application are as follows: **Bonnie Biumi**, Director and Audit Committee Member of ERI; **Peter Broughton**, Senior Vice President Information Technology of ERI; **Anthony L. Carano**, Executive Vice President, Chief Operating Officer and Compliance Committee Member of ERI; **Gary L. Carano**, Chairman of the Board and Chief Executive Officer of ERI and Delta Sub and Chairman of the Board and President of REI; **Frank J. Fahrenkopf**, **Jr.**, Director and Compliance Committee Member of ERI; **John Frankovich**,



Independent Trustee, Carano Family Trusts; James B. Hawkins, Director and Audit Committee Member of ERI; Jeffrey P. Hendricks, Vice President Compliance and Compliance Officer of ERI; Alvin "Bud" Hicks, Chair of the Compliance Committee of ERI; Gregory J. Kozicz, Director of ERI; Stephanie Lepori, Chief Accounting Officer and Compliance Committee Member of ERI; Michael E. Pegram, Director and Audit and Compliance Committee Member of ERI; Edmund L. Quatmann, Jr., Executive Vice President and Chief Legal Officer of ERI and Chief Legal Officer and Secretary, Delta Sub; Thomas R. Reeg, Director, President and Chief Financial Officer of ERI and Delta Sub and Director, Vice President, Secretary and Treasurer of REI; David P. Tomick, Director of ERI; Lee D. Vartan Esq., ICA Trustee; and Roger P. Wagner, Director of ERI; and

- 4. Each of the individuals listed in Finding No. 3 above has provided all information required, including the filing where necessary of a Personal History Disclosure (PHD) form, for purposes of ERI and Delta Sub completing their ICA application;
- 5. Lee Vartan, Esq. is qualified as a casino key employee, but need not satisfy the residency requirement;
- 6. The executed ICA trust agreement (Exhibit A-1, in evidence) is APPROVED pursuant to *N.J.S.A.* 5:12-95.14a(4);
  - 7. The ownership organizational structure by which ERI and Delta Sub



propose that ERI will become the new parent holding company of TEI, TEI will become the intermediary holding company of casino licensee Trop AC and a wholly-owned direct subsidiary of ERI, and by which Trop AC will remain the holder of a valid casino license and a wholly-owned indirect subsidiary of ERI is APPROVED pursuant to *N.J.S.A.* 5:12-82c(3) and -95.13a(1);

- 8. Subject to the conditions herein set forth, the Petitioners' application for ICA is complete; provided, however, that nothing herein precludes the Director from designating other entities or individuals as qualifiers for purposes of the plenary qualification of Petitioners based on the further investigation by the Division or otherwise;
- 9. The Division announced at the hearing in this matter that it is prepared to proceed without availing itself of the full 90 days afforded it under *N.J.S.A.* 5:12-95.12a, and the parties to the agreements will only close after they have received the requisite approvals from the Commission;
- 10. On the present state of the record, the prospect of ERI becoming a holding company of a casino licensee does not result in undue economic concentration within the intent of *N.J.S.A.* 5:12-82e and the regulations promulgated thereunder;
- 11. ERI has complied with the applicable requirements of *N.J.S.A.* 5:12-82d(7)-(10) and -105 for purposes of the ICA application.
  - 12. In light of previous approvals and findings, as well as Commission



findings and rulings contained herein, all other statements of compliance required by *N.J.S.A.* 5:12-95.13a(1) should issue;

- 13. For purposes of *N.J.S.A.* 5:12-95.13a(2), the Tropicana Casino and Resort continues to be a casino hotel facility that is an approved hotel in accordance with the requirements of *N.J.S.A.* 5:12-83;
- 14. ERI's adoption of Trop AC's Equal Employment and Business

  Opportunity Plan is APPROVED pursuant to Director Order 1778, and to the extent necessary, ERI thereby satisfies the requirements of *N.J.S.A.* 5:12-134;
- 15. ERI's Independent Audit Committee comprised of Bonnie Biumi, James B. Hawkins and Michel E. Pegram, and its Audit Committee Charter are APPROVED pursuant to Director Order PRN 2321801(a) as consistent with the requirements of *N.J.A.C.* 13:69D-1.11(c)3 –(c)4;
- 16. ERI's Compliance Committee comprised of Anthony L. Carano, Frank J. Fahrenkopf, Jr., Alvin "Bud" Hicks, Stephanie Lepori and Michael E. Pegram, and its Amended and Restated Gaming Compliance Plan are APPROVED pursuant to Director Order PRN 2321801(b) as consistent with the requirements of *N.J.A.C.* 13:69C-8.8;
- 17. In accordance with *N.J.S.A.* 5:12-95.15, throughout the duration of its ICA, ERI will be subject to all procedures provided in the Act and the Commission and Division regulations that are necessary for a determination of its qualification and suitability.



#### CONDITIONS

Based on the entire record of the proceedings, the findings and rulings set forth herein are subject to the following conditions:

- 1. As to each of the Carano Family Trusts, REI shall notify the Division within five calendar days regarding any of the following: (a) any trustee resigns, any trustee is removed, or a new trustee is appointed; (b) any trust agreement is modified, except for modifications made for tax reasons; and (c) any other action is taken as a result of the occurrence of an extraordinary event.
- 2. ERI shall provide to the Division executed final documents related to the New Senior Notes within five calendar days following issuance of the New Senior Notes.
- 3. No later than 45 calendar days after closing of the TEI Acquisition, ERI shall submit to the Division a schedule detailing the identities of the holders of the New Senior Notes along with each holder's principal amount of New Senior Notes and percentage interest in the New Senior Notes' issuance.
- 4. At least 15 calendar days prior to the due date under the regulations, ERI shall submit to the Division a draft of the first quarterly financial report due from Trop AC after the TEI Acquisition, along with a detailed summary of the changes stemming from the TEI Acquisition.



- 5. ERI shall submit to the Division the methodology for and proposed allocation of lease payments under the Master Lease as to Trop AC as well as every other TEI property subject to the Master Lease at least 10 calendar days prior to implementation.
- 6. ERI shall submit to the Division the methodology for and proposed allocation of any ERI debt obligations to Trop AC at least 10 calendar days prior to implementation.
- 7. ERI shall provide to the Division final documents related to its purchase of the Lumière Place property and the Lumière Place Loan within five calendar days of execution.
- 8. ERI shall notify the Division when the Missouri Gaming Commission ("MGC") approves the TEI Acquisition, as modified as to Lumière Place, within five calendar days of such approval and provide to the Division a copy of any order or ruling from the MGC within five calendar days of entry of such approval.
- 9. Any additional material changes to the TEI Acquisition shall be submitted to the Division for review, and any approval which may be required, prior to implementation, with notification of such changes and any applicable approval to be provided to the Chairman of the Commission.
- 10. Amendments to the Master Lease, pursuant to the provisions of Condition No. 7 of Resolution No. 18-08-08-13, which require approval



by the Commission upon the filing of a petition with the Division and an opportunity for the Division to submit a report regarding such changes, shall include any changes to the parties and/or the assets subject to the Master Lease.

- 11. ERI shall notify the Division of the non-Missouri real estate selected for sale in order to satisfy the Lumière Place Loan immediately when ERI makes such determination, and any applicable documentation regarding such selection, supported by financial information relevant to that proposed operation, shall be provided to the Division within five calendar days of execution.
- 12. The requirements set forth in the above conditions shall not be modified or eliminated except with the approval of the Division Director or his designee, with notice of such approval directed to the Chairman of the Commission.

**BE IT FURTHER RESOLVED**, that Petitioners' request, as set forth on the record, to seal certain portions of D-1 and D-2, in evidence, and certain portions of A-1, in evidence, by authority of the Chairman be and is hereby GRANTED.

Submitted by:

Dianna W. Fauntleroy General Counsel



#### **CERTIFICATION**

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Teresa Pimpinelli, Esq. for the Executive Secretary

Meeting of September 12, 2018 Vote taken on pages 158-160